

Table of Contents

Foreword xxi

Acknowledgments xxiii

Chapter 1

Introduction 1

- 1.1** Overview 1
 - 1.2** Historical Development of the Rules of Evidence 2
 - 1.3** Ethics and Advocacy 3
 - 1.4** Reasons for the Rules of Evidence 3
 - 1.5** What Is Evidence? 4
 - 1.6** Role of the Paralegal 6
 - 1.7** Direct and Circumstantial Evidence 7
 - 1.8** How Is Evidence Obtained? 8
 - 1.9** Interviewing Witnesses 9
 - 1.10** Discovery Devices 10
 - 1.11** Evidentiary Procedures 11
 - 1.12** In Summary 13
- End of Chapter Review Questions 13*
- Applications 14*

Chapter 2

Relevance 15

- 2.1** Common Law Rules of Relevancy and Materiality 15
- 2.2** Relevancy under the Federal Rules of Evidence 19
- 2.3** Admissibility of Relevant Evidence 21
- 2.4** Evolution of the Unfair Prejudice Objection 22
- 2.5** What Constitutes Unfair Prejudice 23
- 2.6** Undue Delay, Waste of Time, or Needless Presentation of Cumulative Evidence 25

- 2.7** Objection for Unfair Prejudice Where Evidence Is Admissible for a Limited Purpose 26
- 2.8** Rule 403—Inapplicability 29
- 2.9** In Summary 30
- End of Chapter Review Questions 31*
- Applications 31*

Chapter 3

Character 33

- 3.1** Character Evidence Defined 33
- 3.2** Character Evidence in Civil Cases 35
- 3.3** Character of the Accused in Criminal Proceedings 39
- 3.4** Character of the Victim in Criminal Proceedings 41
- 3.5** Prior Sexual Conduct Evidence in Civil and Criminal Sex Offense Cases 45
- 3.6** Methods of Proving Character 50
- 3.7** Character as an Essential Element of the Civil or Criminal Case 53
- 3.8** General Prohibition against Evidence of Other Crimes, Wrongs, or Acts to Prove Conduct in Civil and Criminal Cases 55
- 3.9** Offering Extrinsic Evidence of Crimes, Wrongs, or Acts through the Back Door 56
- 3.10** The Battle between FRE 403 and FRE 404(b) 59
- 3.11** In Summary 63
- End of Chapter Review Questions 64*
- Applications 64*

Chapter 4

Habit and *Modus Operandi* 67

- 4.1** Habit Defined 67
- 4.2** Habit as an Organizational Function 68
- 4.3** Proving the Habit of an Individual 72
- 4.4** Proving the Habit of an Individual Who Follows a Professional Routine 74
- 4.5** *Modus Operandi* 78
- 4.6** Procedural Information 80
- 4.7** In Summary 80
- End of Chapter Review Questions 81*
- Applications 81*

Chapter 5 Witnesses and Competency 83

- 5.1** Common Law Rules of Competency 83
- 5.2** Who Is Competent to Testify under the FRE? 84
- 5.3** Modern Competency Rules in the States 85
- 5.4** Personal Knowledge of the Witness 87
- 5.5** The Oath or Affirmation 90
- 5.6** Judges and Jurors as Witnesses 91
- 5.7** In Summary 95
- End of Chapter Review Questions 96*
- Applications 96*

Chapter 6 Impeachment 97

- 6.1** Impeachment—An Explanation 97
- 6.2** Who May Impeach? 98
- 6.3** Impeachment Based on Character for Truthfulness 99
- 6.4** Evidence of Specific Conduct on Cross-Examination 101
- 6.5** Impeachment by Evidence of Conviction of a Crime 104
- 6.6** Impeachment Based on Religious Beliefs or Opinions 107
- 6.7** Prior Inconsistent Statements 108
- 6.8** Refreshing a Witness's Memory 112
- 6.9** Personal Bias 113
- 6.10** Collateral Extrinsic Evidence 117
- 6.11** Overlapping Rules and Limitations on the Use of Impeachment Evidence 120
- 6.12** In Summary 121
- End of Chapter Review Questions 121*
- Applications 122*

Chapter 7 Lay and Expert Opinions 125

- 7.1** Opinion Testimony by Lay Witnesses 125
- 7.2** Expert Opinions 131
- 7.3** Laying a Foundation for Expert Testimony, and Demonstrative Evidence 132
- 7.4** The Use of Lay Testimony in Lieu of Expert Testimony 137

- 7.5** The Bases of Opinion Testimony by Experts, and Refreshing the Expert's Recollection 140
- 7.6** Opinion as to the Ultimate Issue 142
- 7.7** Court Appointed Experts 143
- 7.8** In Summary 144
 - End of Chapter Review Questions* 145
 - Applications* 145

Chapter 8 Settlement, Negotiation, and Remedial Measures 147

- 8.1** The Role of Paralegals in Settlement and Negotiation 147
- 8.2** Compromise and Offers to Compromise 148
- 8.3** When Settlement Negotiations and Agreements Are Admissible 150
- 8.4** Excludability of Evidence of Remedial Measures 158
- 8.5** Payment of Medical and Similar Expenses 162
- 8.6** Exclusion of Evidence of Liability Insurance 163
- 8.7** Exclusion of Evidence of Unaccepted Plea Bargains, Withdrawn Pleas, and No Contest Pleas 163
- 8.8** In Summary 165
 - End of Chapter Review Questions* 166
 - Applications* 166

Chapter 9 Hearsay 169

- 9.1** Introduction to Hearsay 169
- 9.2** Purpose of Hearsay Rules 170
- 9.3** An Introduction to Nonhearsay 171
- 9.4** Nonverbal Assertions 172
- 9.5** Evidence That Is Not Hearsay Because the Rules Say It Is Not 173
- 9.6** Evidence That Is Not Hearsay Because the Court Says It Is Not 174
- 9.7** Identifying Nonhearsay Uses of Evidence Offered for Purposes Other Than to Prove the Truth, and Understanding Their Limitations 175
- 9.8** Verbal Acts 179

- 9.9** Implied Assertions 181
- 9.10** In Summary 182
 - End of Chapter Review Questions 183*
 - Applications 183*

Chapter 10

Hearsay Exceptions 185

- 10.1** Introduction to Hearsay Exceptions 185
- 10.2** The *Crawford* Confrontation Wrinkle 187
- 10.3** Present Sense Impression and Excited Utterance 190
- 10.4** *Res Gestae* 191
- 10.5** Then Existing Mental, Emotional, or Physical Condition 191
- 10.6** Statements for Purposes of Medical Diagnosis or Treatment 193
- 10.7** Recorded Recollection 194
- 10.8** Records 194
- 10.9** Reputation 199
- 10.10** Learned Treatises 200
- 10.11** Judgments 200
- 10.12** “Catch-All” Hearsay Exceptions 201
- 10.13** Availability of the Declarant 201
- 10.14** Hearsay Exceptions When the Declarant Is Unavailable 204
- 10.15** In Summary 205
 - End of Chapter Review Questions 206*
 - Applications 206*

Chapter 11

Authentication, Identification, and Exhibits 209

- 11.1** Introduction 209
- 11.2** Testimony of a Witness with Personal Knowledge 210
- 11.3** Chain of Custody 211
- 11.4** Authenticating Documents and Self-Authentication 212
- 11.5** Authenticating Business Records 213
- 11.6** Ancient Documents 218
- 11.7** Computer and Other Technologically Generated Records 220

- 11.8** State Statutes and Acts of Congress 223
- 11.9** Best Evidence Rule—Original, Duplicate, and Unavailable Documents 223
- 11.10** Summaries of Documents 225
- 11.11** In Summary 225
 - End of Chapter Review Questions* 226
 - Applications* 226

Chapter 12

Constitutional Constraints on the Admissibility of Evidence 229

- 12.1** Introduction 229
- 12.2** An Overview of the Fourth Amendment 230
- 12.3** The Fourth Amendment's Search and Seizure Requirement 231
- 12.4** Exceptions to the Search Warrant Requirement 232
- 12.5** The Fifth Amendment and the Right against Compelled Self-Incrimination 234
- 12.6** The Miranda Warnings 235
- 12.7** The Sixth Amendment's Confrontation Clause 236
- 12.8** The Exclusionary Rule—The Cure for Constitutional Violations 237
- 12.9** Fruit of the Poisonous Tree 243
- 12.10** In Summary 244
 - End of Chapter Review Questions* 245
 - Applications* 245

Chapter 13

Common Law Privileges 247

- 13.1** Introduction to Common Law Privileges 247
- 13.2** The Politics of the Attorney-Client Privilege 248
- 13.3** Communications That Fall Outside the Attorney-Client Privilege 250
- 13.4** The Crime-Fraud Exception to the Attorney-Client Privilege 252
- 13.5** Spousal Privilege 255
- 13.6** The Physician-Patient Privilege 257
- 13.7** Religious Communication Privilege 258
- 13.8** Counselors, Therapists, and Social Workers and Chiropractors, Nurses, and Dentists 258

- 13.9** Military Secrets 258
- 13.10** Privileges on the Horizon 259
- 13.11** In Summary 259
 - End of Chapter Review Questions* 260
 - Applications* 260

Appendix A

Federal Rules of Evidence for United States Courts and Magistrates 261

Glossary 295

Index 303